



Rev. 04/01

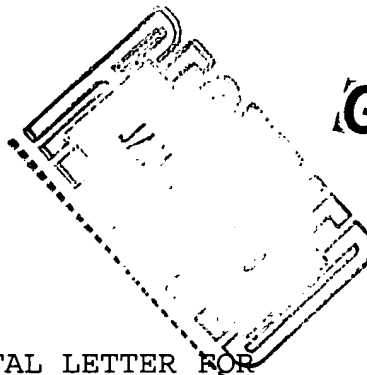
Docket No. GTX-001 CIP3

216  
3/24/0  
PH  
#51/2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marvin T. Ling  
Application No.: 10/057,420 Confirmation No.: 1324  
Filed : January 25, 2002  
For : SYSTEMS AND METHODS FOR CONDUCTING  
ELECTRONIC COMMERCE TRANSACTIONS  
REQUIRING MICROPAYMENT  
Group Art Unit : 2164

Hon. Commissioner  
for Patents  
c/o P.O. Box 2327  
Arlington, VA 22202



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TRANSMITTAL LETTER FOR  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information  
Disclosure Statement in the above-identified application.

This Statement is submitted:

- ☐ [ ] within three months of the application filing date;
- ☒ [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of  
this Statement requires no fee. However, if for any reason  
a fee is due, the Director is hereby authorized to charge  
payment of any fees required in connection with this  
Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Douglas A. Oguss  
Registration No. 48,469  
Agent for Applicant

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Correspondence is being  
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Customer No. 1473  
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New York, New York 10020-1104  
Tel.: (650) 617-4000



PATENTS  
GTX-001 CIP3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marvin T. Ling

Application No.: 10/057,420

Confirmation No. <sup>1324</sup>  
JAN 21 2003

Filed : January 25, 2002

For : SYSTEMS AND METHODS FOR CONDUCTING  
ELECTRONIC COMMERCE TRANSACTIONS  
REQUIRING MICROPAYMENT

Group Art Unit : 2164

Hon. Commissioner for Patents  
c/o P.O. Box 2327  
Arlington, VA 22202

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,  
applicant hereby makes the following documents of record in  
the above identified application: \*

U.S. Patents

6,236,972	05/2001	Shkedy
5,982,891	11/1999	Ginter et al.

Other Documents

Wayner, Peter, Digital Cash, 2nd Edition: Commerce on  
the Net, Chapter 22 "Magic Money:" pp. 241-248, AP  
Professional, London (1997).

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\* Applicant reserves the right to challenge the status of any  
of the cited documents as prior art.

In accordance with 37 C.F.R. § 1.98 (d), copies of the above-cited documents, all of which were made of record in U.S. Patent Application No. 09/553,695, from which priority is claimed under 35 U.S.C. § 120, are not submitted herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicant requests that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,



Douglas A. Oguss  
Reg. No. 48,469  
Agent for Applicant

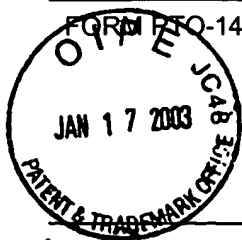
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MTC  
Matt Carotto

1/17/03  
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FISH & NEAVE  
1251 Avenue of the Americas  
New York, N. Y. 10020  
(650) 617-4000



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
**GTX-001 CIP3**SERIAL NO.  
**10/057,420**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
**Marvin T. Ling**FILING DATE  
**January 25, 2002**GROUP  
**2164**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	6,236,972	05/2001	Shkedy			
	5,982,891	11/1999	Ginter et al.			

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JAN 21 2003  
**GROUP 3600**

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	Wayner, Peter, Digital Cash, 2 <sup>nd</sup> Edition: Commerce on the Net, Chapter 22 "Magic Money:" pp. 241-248, AP Professional, London (1997).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.